

# Satisfaction, Validity, and State Updates

## CS 536: Science of Programming, Fall 2021

1. Say  $u$  and  $v$  stand for variables (possibly the same variable) and  $\alpha$  and  $\beta$  are values (possibly equal). When is  $\sigma[u \mapsto \alpha][v \mapsto \beta] = \sigma[v \mapsto \beta][u \mapsto \alpha]$ ? Hint: There are four cases because maybe  $u \equiv v$  and maybe  $\alpha = \beta$ .
  
2. Let  $\sigma(b) = (7, 5, 12, 16)$ . Assume out-of-bound indexes cause runtime errors.
  - a. Does  $\sigma \models \exists k. 0 \leq k \wedge k+1 < \text{size}(b) \wedge b[k] < b[k+1]$ ? If so, what was your witness value for  $k$ ?
  - b. Does  $\sigma \models \exists k. 0 < k-1 \wedge k+1 < \text{size}(b) \wedge b[k-1] < b[k] < b[k+1]$ ? If so, what was your witness value for  $k$ ?
  - c. Does  $\sigma \models \forall k. 0 \leq k < 4 \rightarrow b[k] > 0$ ?
  - d. If  $\sigma(k) = -5$ , then does  $\sigma \models \exists k. b[k] > 0$ ?
  
3. For each of the situations below, fill in the blanks to describe when the situation holds.
 

Fill in \_\_\_\_<sub>1</sub> with “some”, “every”, or “this”

Fill in \_\_\_\_<sub>2</sub> with “some” or “every”

Fill in \_\_\_\_<sub>3</sub> with “ $\sigma(x)$  must be undefined”, “ $\sigma(x)$  must be defined and  $\sigma \models p$ ”, or “nothing of  $\sigma(x)$ ”

Fill in \_\_\_\_<sub>4</sub> with “ $\models p$ ” or “ $\not\models p$ ”

  - a.  $\sigma \models (\exists x \in U. p)$  iff for \_\_\_\_<sub>1</sub> state  $\sigma$  and \_\_\_\_<sub>2</sub>  $\alpha \in U$ ,  $\sigma[x \mapsto \alpha]$  \_\_\_\_<sub>4</sub>
  - b.  $\sigma \models (\forall x \in U. p)$  iff for \_\_\_\_<sub>1</sub> state  $\sigma$  and \_\_\_\_<sub>2</sub>  $\alpha \in U$ ,  $\sigma[x \mapsto \alpha]$  \_\_\_\_<sub>4</sub>
  - c.  $\sigma \models (\exists x \in U. p)$  requires \_\_\_\_<sub>3</sub>.
  - d.  $\sigma \models (\forall x \in U. p)$  requires \_\_\_\_<sub>3</sub>.
  - e.  $\sigma \not\models (\exists x \in U. p)$  iff for \_\_\_\_<sub>1</sub> state  $\sigma$  for \_\_\_\_<sub>2</sub>  $\alpha \in U$ ,  $\sigma[x \mapsto \alpha]$  \_\_\_\_<sub>4</sub>
  - f.  $\sigma \not\models (\forall x \in U. p)$  iff for \_\_\_\_<sub>1</sub> state  $\sigma$  for \_\_\_\_<sub>2</sub>  $\alpha \in U$ ,  $\sigma[x \mapsto \alpha]$  \_\_\_\_<sub>4</sub>
  - g.  $\not\models (\forall x \in U. p)$  iff for \_\_\_\_<sub>2</sub> state  $\sigma$ , we have  $\sigma$  \_\_\_\_<sub>4</sub>  $(\forall x \in U. p)$ .
  - h.  $\not\models (\exists x \in U. p)$  iff for \_\_\_\_<sub>2</sub> state  $\sigma$ , we have  $\sigma$  \_\_\_\_<sub>4</sub>  $(\exists x \in U. p)$ .
  - i.  $\not\models (\forall x \in U. p)$  iff for \_\_\_\_<sub>2</sub> state  $\sigma$ , and for \_\_\_\_<sub>2</sub>  $\alpha \in U$ , we have  $\sigma[x \mapsto \alpha]$  \_\_\_\_<sub>4</sub>
  - j.  $\models (\exists x \in U. (\forall y \in V. p))$  iff for \_\_\_\_<sub>1</sub> state  $\sigma$ , for \_\_\_\_<sub>2</sub>  $\alpha \in U$ , and for \_\_\_\_<sub>2</sub>  $\beta \in V$ , we have  $\sigma[x \mapsto \alpha][y \mapsto \beta]$  \_\_\_\_<sub>4</sub>
  - k.  $\not\models (\exists x \in U. (\forall y \in V. p))$  iff for \_\_\_\_<sub>1</sub> state  $\sigma$ , for \_\_\_\_<sub>2</sub>  $\alpha \in U$ , and for \_\_\_\_<sub>2</sub>  $\beta \in V$ , we have  $\sigma[x \mapsto \alpha][y \mapsto \beta] [\models \mid \models \neg] p$ .

- l.  $\models (\forall x \in U . (\exists y \in V . p))$  iff for \_\_\_\_\_<sub>1</sub> state  $\sigma$ , for \_\_\_\_\_<sub>2</sub>  $\alpha \in U$ , and for \_\_\_\_\_<sub>2</sub>  $\beta \in V$ , we have  $\sigma[x \mapsto \alpha][y \mapsto \beta]$  \_\_\_\_\_<sub>4</sub>
- m.  $\not\models (\forall x \in U . (\exists y \in V . p))$  iff for \_\_\_\_\_<sub>1</sub> state  $\sigma$ , for \_\_\_\_\_<sub>2</sub>  $\alpha \in U$ , and for \_\_\_\_\_<sub>2</sub>  $\beta \in V$ , we have  $\sigma[x \mapsto \alpha][y \mapsto \beta]$  \_\_\_\_\_<sub>4</sub>
4. Let  $p \equiv \exists y . \forall x . f(x) > y$ , and let  $q \equiv \forall x . \exists y . f(x) > y$ . (As usual, assume a domain of  $\mathbb{Z}$ .)
- Is it the case that (regardless of the definition of  $f$ ), if  $p$  is valid then so is  $q$ ? If so, explain why. If not, give a definition of  $f(x)$  and show  $\models p$  but  $\not\models q$ .
  - (The converse.) Is it the case that (regardless of the definition of  $f$ ), if  $q$  is valid then so is  $p$ ? If so, explain why. If not, give a definition of  $f(x)$  and show  $\models q$  but  $\not\models p$ .

CS 536: Solution to Activity 4 (Satisfaction, Validity, and State Updates)

1.  $\sigma[u \mapsto \alpha][v \mapsto \beta] = \sigma[v \mapsto \beta][u \mapsto \alpha]$  iff  $u \neq v$  or  $(u = v \text{ and } \alpha = \beta)$ . Another way to phrase this is  $(\alpha = \beta \text{ or } u \neq v)$
  
2. (Quantified statements over arrays) Let  $\sigma(b) = (7, 5, 12, 16)$ .
  - a. Yes,  $\sigma \models \exists k. 0 \leq k \wedge k+1 < \text{size}(b) \wedge b[k] < b[k+1]$  with 1 and 2 as possible witnesses for  $k$ .
  - b. Yes,  $\sigma \models \exists k. 0 \leq k-1 \wedge k+1 < \text{size}(b) \wedge b[k-1] < b[k] < b[k+1]$  with 2 as the only witness that works.
  - c. Yes,  $\sigma \models \forall k. b[k] > 0$
  - d. Yes, if  $\sigma(k) = -5$ , we still have  $\sigma \models \exists k. b[k] > 0$ , with witnesses 0, 1, 2, 3. The key is that for  $\sigma$  to satisfy the existential with witness call it  $\alpha$ , then we need  $\sigma[k \mapsto \alpha] \models b[k] > 0$ , which doesn't depend on  $\sigma(k)$  because the update of  $\sigma$  uses  $k = \alpha$ , not  $k = \text{whatever } \sigma(k) \text{ happens to be}$ . Here's a step-by-step explanation (this is way too much detail for appearing on a test):

$\sigma[k \mapsto \alpha] \models b[k] >$	
iff $\sigma[k \mapsto \alpha](b[k]) > \sigma[k \mapsto \alpha](0)$	defn state $\models$ relational test
iff $(\sigma[k \mapsto \alpha](b))(\sigma[k \mapsto \alpha](k)) > 0$	the value of 0 is zero
iff $(\sigma(b))(\sigma[k \mapsto \alpha](k)) > 0$	$\sigma[k \mapsto \alpha](b) = \sigma(b)$ because $b \neq$
$k$	
iff $(\sigma(b))(\alpha) > 0$	$\sigma[k \mapsto \alpha](k) = \alpha$
iff 7, 5, 12, or 16 > 0	depending on $\alpha = 0, 1, 2, \text{ or } 3$

3. (Validity/invalidity of quantified predicates)
  - a. this  $\sigma$ , some  $\alpha$ ,  $\models p$
  - b. this  $\sigma$ , every  $\alpha$ ,  $\models p$
  - c. nothing of  $\sigma(x)$
  - d. nothing of  $\sigma(x)$
  - e. this  $\sigma$ , every  $\alpha$ ,  $\not\models p$
  - f. this  $\sigma$ , some  $\alpha$ ,  $\not\models p$
  - g. some  $\sigma$ ,  $\not\models \forall x \in U. p$
  - h. some  $\sigma$ , every  $\alpha$ ,  $\not\models p$
  - i. some  $\sigma$ , some  $\alpha$ ,  $\not\models p$
  - j. every  $\sigma$ , some  $\alpha$ , every  $\beta$ ,  $\models p$
  - k. some  $\sigma$ , every  $\alpha$ , some  $\beta$ ,  $\not\models p$
  - l. every  $\sigma$ , every  $\alpha$ , some  $\beta$ ,  $\models p$
  - m. some  $\sigma$ , some  $\alpha$ , every  $\beta$ ,  $\not\models p$

4. ( $\exists \forall$  predicates versus  $\forall \exists$  predicates, specifically  $p \equiv \exists y . \forall x . f(x) > y$ , and  $q \equiv \forall x . \exists y . f(x) > y$ )

- a. The relation does hold:  $\models p$  implies  $\models q$ . The short explanation is that for each value  $\alpha$  for  $x$ , we need to find a value  $\beta$  for  $y$  that satisfies the body, but  $p$  says that there's a value that works for every  $\alpha$ , so we can use that value for  $\beta$ . In more detail, assume  $p$  is valid: for every state  $\sigma$ , there is some value  $\beta$  where for every value  $\alpha$ ,  $\sigma[y \mapsto \beta][x \mapsto \alpha] \models f(x) > y$ . To show that  $q$  is valid, take an arbitrary state  $\tau$  with value  $\alpha$  for  $x$ . We need a witness value for the  $\exists y$ ; using  $p$  with  $\tau$  for  $\sigma$ , we get a  $\beta$  for the  $\exists y$  of  $p$  and use that as the witness for the  $\exists y$  in  $q$ . So then we need  $\tau[x \mapsto \alpha][y \mapsto \beta] \models f(x) > y$ . Substituting  $\sigma$  for  $\tau$  and swapping the order of the updates, we need  $\sigma[y \mapsto \beta][x \mapsto \alpha] \models f(x) > y$ . But that's exactly what  $p$  provided.
- b. The relation does not hold: We can have  $\models q$  but  $\not\models p$ . The easiest example is  $f(x) = x$ , then validity of  $p$  would require us to find an integer value for  $y$  that is  $>$  every possible integer value of  $x$ , but no such value exists.

As an aside, you can use an arbitrary predicate over  $x$  and  $y$  instead of  $f(x) > y$  as the body of the  $\exists \forall$  and  $\forall \exists$  predicates. I use  $f(x) > y$  here just because it's nice and concrete.