

# Evaluation Contexts

(Yet another way to avoid search rules)

$$e ::= x \mid () \mid \lambda x. e \mid e e \mid (e, e) \mid \text{fst } e \mid \text{snd } e \quad v ::= () \mid \lambda x. e \mid (v, v)$$

$$\tau ::= \text{unit} \mid \tau \rightarrow \tau \mid \tau \times \tau$$

$$\varepsilon ::= () \mid \varepsilon e \mid v \varepsilon \mid (\varepsilon, e) \mid (v, \varepsilon) \mid \text{fst } \varepsilon \mid \text{snd } \varepsilon$$

$$(\varepsilon, ((), (\lambda x. x) (\text{fst } ((), 8))))$$

the part that  
can step

Evaluation Contexts  
- Exprs w/ one "hole"

everything else

$$\varepsilon[\text{fst } ((), 8)]$$

$\varepsilon[e]$  - fill the hole w/  $e$

$$\varepsilon[e] = e$$

$$(\varepsilon e)[e'] = (\varepsilon[e']) e$$

$$(v \varepsilon)[e] = v \varepsilon[e]$$

$$(\varepsilon, e)[e'] = (\varepsilon[e'], e)$$

$$(v, \varepsilon)[e'] = (v, \varepsilon[e'])$$

$$(\text{fst } \varepsilon)[e] = \text{fst } (\varepsilon[e])$$

$$(\text{snd } \varepsilon)[e] = \text{snd } (\varepsilon[e])$$

$$(\varepsilon, ((), (\lambda x. x) \bullet))[\text{fst } ((), 8)] = (\varepsilon, ((), (\lambda x. x) (\text{fst } ((), 8))))$$

$$\frac{e \mapsto e'}{\varepsilon[e] \mapsto \varepsilon[e']}$$

- One big rule!

(still need to define this judgment)

$$\frac{}{(\lambda x. e) v \rightarrow (v[x]e)}$$

$$\frac{}{\text{fst } (v_1, v_2) \rightarrow v_1}$$

$$\frac{}{\text{snd } (v_1, v_2) \rightarrow v_2}$$

Need "types" for contexts

$\xi: \tau \rightsquigarrow \tau'$  Takes a  $\tau$  (to fill the hole), acts as a  $\tau'$   
 No need for a context -  $\xi$ s always represent closed exprs

$$\frac{}{0: \tau \rightsquigarrow \tau} \quad (1) \quad \frac{\xi: \tau \rightsquigarrow \tau_1 \rightarrow \tau_2 \quad \bullet \vdash e: \tau_1}{\xi e: \tau \rightsquigarrow \tau_2} \quad (2) \quad \frac{\bullet \vdash v: \tau_1 \rightarrow \tau_2 \quad \xi: \tau \rightsquigarrow \tau_1}{v \xi: \tau \rightsquigarrow \tau_2} \quad (3)$$

$$\frac{\xi: \tau \rightsquigarrow \tau_1 \quad \bullet \vdash e: \tau_2}{(\xi, e): \tau \rightsquigarrow \tau_1 \times \tau_2} \quad (4) \quad \frac{\bullet \vdash v: \tau_1 \quad \xi: \tau \rightsquigarrow \tau_2}{(v, \xi): \tau \rightsquigarrow \tau_1 \times \tau_2} \quad (5) \quad \frac{\xi: \tau \rightsquigarrow \tau_1 \times \tau_2}{\text{fst } \xi: \tau \rightsquigarrow \tau_1} \quad (6) \quad \frac{}{\text{snd } \xi: \tau \rightsquigarrow \tau_2} \quad (7)$$

Lemma 1: If  $\xi: \tau \rightsquigarrow \tau'$  and  $\bullet \vdash e: \tau$  then  $\bullet \vdash \xi(e): \tau'$

Pf. By ind. on the derivation of  $\xi: \tau \rightsquigarrow \tau'$

(1) Then  $\xi = 0$  and  $\xi(e) = e$  and  $\tau = \tau'$  ✓

(2) Then  $\xi = \xi_0 e_0$  and  $\xi_0: \tau \rightsquigarrow \tau_1 \rightarrow \tau'$  and  $\bullet \vdash e_0: \tau_1$   
 and  $\xi(e) = \xi_0(e)$ . By induction,  $\bullet \vdash \xi_0(e): \tau_1 \rightarrow \tau'$

Apply ( $\rightarrow E$ )

(3) Then  $\xi = v \xi_0$  and  $\bullet \vdash v: \tau_1 \rightarrow \tau_2$  and  $\xi_0: \tau \rightsquigarrow \tau_1$  and  $\xi(e) = v(\xi_0(e))$ .  
 By induction,  $\bullet \vdash \xi_0(e): \tau_1$ . Apply ( $\rightarrow E$ )

(4) Then  $\xi = (\xi_0, e_0)$  and  $\xi_0: \tau \rightsquigarrow \tau_1$  and  $\bullet \vdash e_0: \tau_2$ , and  $\xi(e) = (\xi_0(e), e)$   
 and  $\tau = \tau_1 \times \tau_2$ . By ind.,  $\bullet \vdash \xi_0(e): \tau_1$ . Apply ( $\times I$ )

(6) Then  $\xi = \text{fst } \xi_0$  and  $\xi_0: \tau \rightsquigarrow \tau_1 \times \tau_2$  and  $\xi(e) = \text{fst } \xi_0(e)$ .  
 By induction,  $\bullet \vdash \xi_0(e): \tau_1 \times \tau_2$ . Apply ( $\times E_1$ )  $\square$

Lemma 2. If  $\bullet \vdash E[e] : \tau$  then there exists  $\tau'$  such that  $\bullet \vdash e : \tau'$  and  $E : \tau' \rightsquigarrow \tau$ .

Proof. By induction on the structure of  $E$ .

1.  $E = e$ . Then  $E[e] = e$ . Let  $\tau' = \tau$ . Apply (1)
2.  $E = E_0 e_0$ . Then  $E[e] = E_0[e] e_0$ . By inversion on  $\rightarrow E$ ,  $\bullet \vdash E_0[e] : \tau_1 \rightarrow \tau$  and  $\bullet \vdash e_0 : \tau_1$ . By induction,  $\bullet \vdash e : \tau'$  and  $E_0 : \tau' \rightsquigarrow \tau_1 \rightarrow \tau$ . Apply (2).
3.  $E = \nu E_0$ . Then  $E[e] = \nu E_0[e]$ . By inversion on  $\rightarrow E$ ,  $\bullet \vdash \nu : \tau_1 \rightarrow \tau$  and  $\bullet \vdash E_0[e] : \tau_1$ . By induction,  $\bullet \vdash e : \tau'$  and  $E_0 : \tau' \rightsquigarrow \tau_1$ . Apply (3).
4.  $E = (E_0, e)$ . Then  $E[e] = (E_0[e], e)$ . By inversion on  $\times I$ ,  $\bullet \vdash E_0[e] : \tau_1$  and  $\bullet \vdash e : \tau_2$  and  $\tau = \tau_1 \times \tau_2$ . By induction,  $\bullet \vdash e : \tau'$  and  $E_0 : \tau' \rightsquigarrow \tau_1$ . Apply (4)
6.  $E = \text{fst } E_0$ . Then  $E[e] = \text{fst}(E_0[e])$ . By inversion on  $\times E$ ,  $\bullet \vdash E_0[e] : \tau \times \tau_2$ . By induction,  $\bullet \vdash e : \tau'$  and  $E_0 : \tau' \rightsquigarrow \tau \times \tau_2$ . Apply (6).

Preservation: If  $\bullet \vdash e : \tau$  and  $e \mapsto e'$  then  $\bullet \vdash e' : \tau$ .

Case  $\frac{e_0 \mapsto e_0'}{E[e_0] \mapsto E[e_0']}$  Then  $e = E[e_0]$ . By Lemma 2, there exists  $\tau'$  s.t.  $\bullet \vdash e_0 : \tau'$  and  $E : \tau' \rightsquigarrow \tau$ .

By induction,  $\bullet \vdash e_0' : \tau'$ . By Lemma 1,  $\bullet \vdash E[e_0'] : \tau$ .

Other cases similar to past preservation proofs.

Lemma 3 (Decomposition). If  $\bullet \vdash e : \tau$  then either  $e$  is a value or  $e = E[e']$  and  $e'$  is  $(\lambda x. \tau'. e'')$  or  $\text{fst}(v_1, v_2)$  or  $\text{snd}(v_1, v_2)$ .

Pf. By induction on the derivation of  $\bullet \vdash e : \tau$ .

with  $I_1 \rightarrow I$ . then  $e$  is a value.

$\rightarrow E$  then  $e = e_1 e_2$  and  $\bullet \vdash e_1 : \tau' \rightarrow \tau$  and  $\bullet \vdash e_2 : \tau'$ .

By induction,  $e_1$  is a value or  $e_1 = E[e_1']$  and  $e_1'$  has one of the forms (value).

By induction,  $e_2$  is a value or  $e_2 = E[e_2']$ ...

$e_2$  is a value. then by CF,  $e = v (\lambda x. \tau'. e'')$

$e_2 = E[e_2']$ . Then  $e = \nu E[e_2'] = (\nu E)[e_2']$ ...

Progress. If  $e : \tau$  then  $e$  is a value or  $e \mapsto e'$  for some  $e'$ .

Proof. By Lemma 3:

$e$  is a value  $\checkmark$

$e = \lambda x. e'$  and  $e' = (\lambda x : \tau'. e'') v$  then  $e \mapsto \lambda x. e''$

$e' = \text{fst } (v_1, v_2)$  then  $e \mapsto \lambda x. v_1$

$e' = \text{snd } (v_1, v_2)$  then  $e \mapsto \lambda x. v_2$ . □

Note there can be more than one way to decompose an  $\text{fst}$

$(\lambda x : \text{int} \times \text{int}. \text{fst } x) (\text{fst } (1, 2), 3)$

$= (0 (\text{fst } (1, 2), 3)) [(\lambda x \dots)]$

$= ((\lambda x. \text{fst } x) (0, 3)) [\text{fst } (1, 2)]$  - the useful one

$= ((\lambda x. \text{fst } x) (\text{fst } 0, 3)) [1, 2]$

$\mapsto (\lambda x : \text{int} \times \text{int}. \text{fst } x) (1, 3)$

$= ((\lambda x. \text{fst } x) (0, 3)) [1]$  - no longer the useful one

$= 0 [(\lambda x. \text{fst } x) (1, 3)]$

$\mapsto 0 [\text{fst } (1, 3)]$

$\mapsto 0 [1]$

(Some parts of these notes derived from notes by David Walker @ Princeton)