



# CS 525: Advanced Database Organisation

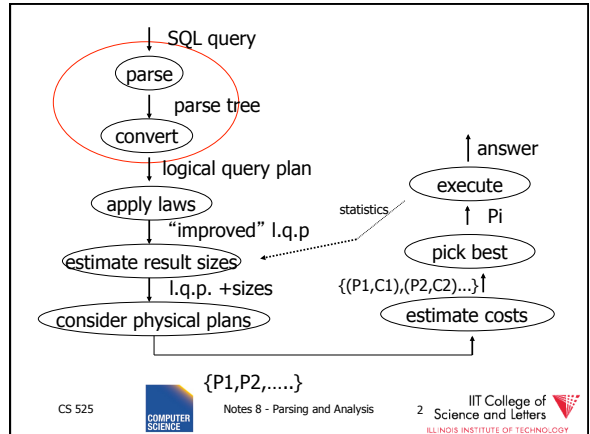
## 08: Query Processing Parsing and Analysis

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Slides: adapted from a [course](#) taught by [Hector Garcia-Molina](#), Stanford InfoLab




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## Parsing, Analysis, Conversion

1. Parsing
  - Transform SQL text into syntax tree
2. Analysis
  - Check for semantic correctness
  - Use database catalog
  - E.g., unfold views, lookup functions and attributes, check scopes
3. Conversion
  - Transform into internal representation
  - Relational algebra or QBM

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## Analysis and Conversion

- Usually intertwined
- The internal representation is used to store analysis information
- Create an initial representation and complete during analysis

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## Parsing, Analysis, Conversion

1. Parsing
2. Analysis
3. Conversion

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## Parsing

- SQL -> Parse Tree
- Covered in compiler courses and books
- Here only short overview

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## SQL Standard

- Standardized language
  - 86, 89, 92, 99, 03, 06, 08, 11
- DBMS vendors developed their own dialects

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## Example: SQL query

```
SELECT title
FROM StarsIn
WHERE starName IN (
    SELECT name
    FROM MovieStar
    WHERE birthdate LIKE '%1960'
);
```

(Find the movies with stars born in 1960)

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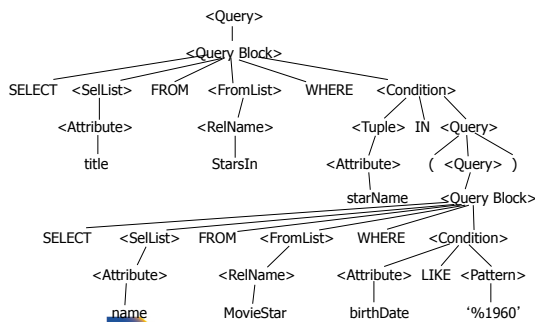


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## Example: Parse Tree



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## SQL Query Structure

- Organized in Query blocks
- ```
SELECT <select_list>
FROM <from_list>
WHERE <where_condition>
GROUP BY <group_by_expressions>
HAVING <having_condition>
ORDER BY <order_by_expressions>
```

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## Query Blocks

- Only **SELECT** clause is mandatory
  - Some DBMS require **FROM**

**SELECT** (1 + 2) AS result

```
result
3
```

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## SELECT clause

- List of expressions and optional name assignment + optional **DISTINCT**
  - Attribute references: R.a, b
  - Constants: 1, 'hello', '2008-01-20'
  - Operators: (R.a + 3) \* 2
  - Functions (maybe UDF): substr(R.a, 1,3)
    - Single result or **set functions**
  - Renaming: (R.a + 2) AS x

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## SELECT clause - example

```
SELECT substring(p.name,1,1) AS initial
       p.name
FROM person p
```

| person |        | result  |      |
|--------|--------|---------|------|
| name   | gender | initial | name |
| Joe    | male   | J       | Joe  |
| Jim    | male   | J       | Jim  |

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## SELECT clause – set functions

- Function `extrChar(string)`

```
SELECT extrChar(p.name) AS n
FROM person p
```

| person |        |
|--------|--------|
| name   | gender |
| Joe    | male   |
| Jim    | male   |

result

| n |
|---|
| J |
| o |
| e |
| J |
| i |
| m |

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## SELECT clause – DISTINCT

```
SELECT DISTINCT gender
FROM person p
```

| person |        | result |
|--------|--------|--------|
| name   | gender | gender |
| Joe    | male   | male   |
| Jim    | male   |        |

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## FROM clause

- List of table expressions
  - Access to relations
  - Subqueries (need alias)
  - Join expressions
  - Table functions
  - Renaming of relations and columns

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## FROM clause examples

```
FROM R
-access table R
FROM R, S
-access tables R and S
FROM R JOIN S ON (R.a = S.b)
-join tables R and S on condition (R.a = S.b)
FROM R x
FROM R AS x
-Access table R and assign alias 'x'
```

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## FROM clause examples

```
FROM R x(c,d)
FROM R AS x(c,d)
-using aliases x for R and c,d for its attributes
FROM (R JOIN S t ON (R.a = t.b)), T
-join R and S, and access T
FROM (R JOIN S ON (R.a = S.b)) JOIN T
-join tables R and S and result with T
FROM create_sequence(1,100) AS seq(a)
-call table function
```

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## FROM clause examples

```
FROM
  (SELECT count(*) FROM employee)
  AS empcnt(cnt)
```

-count number of employee in subquery

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## FROM clause examples

```
SELECT *
FROM create_sequence(1,3) AS seq(a)
```

result

| a |
|---|
| 1 |
| 2 |
| 3 |

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## FROM clause examples

```
SELECT dep, headcnt
FROM (SELECT count(*) AS headcnt, dep
      FROM employee
      GROUP BY dep)
WHERE headcnt > 100
```

**employee**

| name | dep       |
|------|-----------|
| Joe  | IT        |
| Jim  | Marketing |
| ...  | ...       |

**result**

| dep     | headcnt |
|---------|---------|
| IT      | 103     |
| Support | 2506    |
| ...     | ...     |

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## FROM clause - correlation

- Correlation
  - Reference attributes from other FROM clause item
  - Attributes of  $i^{\text{th}}$  entry only available in  $j > i$
  - Semantics:
    - For each row in result of  $i^{\text{th}}$  entry:
    - Substitute correlated attributes with value from current row and evaluate query

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## Correlation - Example

```
SELECT name, chr
FROM employee AS e,
      extrChar(e.name) AS c(chr)
```

**employee**

| name | dep       |
|------|-----------|
| Joe  | IT        |
| Jim  | Marketing |
| ...  | ...       |

**result**

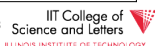
| name | chr |
|------|-----|
| Joe  | J   |
| Joe  | o   |
| Joe  | e   |
| Jim  | J   |
| Jim  | i   |
| ...  | ... |

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## Correlation - Example

```
SELECT name
FROM (SELECT max(salary) maxsal
      FROM employee) AS m,
      (SELECT name
      FROM employee x
      WHERE x.salary = m.salary) AS e
```

**employee**

| name | salary |
|------|--------|
| Joe  | 20,000 |
| Jim  | 30,000 |
| ...  | ...    |

**result**

| name |
|------|
| Jim  |

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## WHERE clause

- A condition
  - Attribute references
  - Constants
  - Operators (boolean)
  - Functions
  - Nested subquery expressions
- Result has to be boolean

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## WHERE clause examples

- WHERE  $R.a = 3$   
–comparison between attribute and constant
- WHERE  $(R.a > 5) \text{ AND } (R.a < 10)$   
–range query using boolean AND
- WHERE  $R.a = S.b$   
–comparison between two attributes
- WHERE  $(R.a * 2) > (S.b - 3)$   
–using operators

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## Nested Subqueries

- Nesting a query within an expression
- Correlation allowed
  - Access FROM clause attributes
- Different types of nesting
  - Scalar subquery
  - Existential quantification
  - Universal quantification

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## Nested Subqueries Semantics

- For each tuple produced by the FROM clause execute the subquery
  - If correlated attributes replace them with tuple values

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## Scalar subquery

- Subquery that returns one result tuple
  - How to check?
  - -> Runtime error

```
SELECT *  
FROM R  
WHERE R.a = (SELECT count(*) FROM S)
```

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## Existential Quantification

- $\langle \text{expr} \rangle \text{ IN } \langle \text{subquery} \rangle$ 
  - Evaluates to true if  $\langle \text{expr} \rangle$  equals at least one of the results of the subquery

```
SELECT *  
FROM users  
WHERE name IN (SELECT name FROM  
blacklist)
```

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## Existential Quantification

- EXISTS <subquery>
  - Evaluates to true if <subquery> returns at least one tuple

```
SELECT *
FROM users u
WHERE EXISTS (SELECT * FROM
             blacklist
             WHERE b.name = u.name)
```

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## Existential Quantification

- <expr> <op> ANY <subquery>
  - Evaluates to true if <expr> <op> <tuple> evaluates to true for **at least one** result tuple
  - Op is any comparison operator: =, <, >, ...

```
SELECT *
FROM users
WHERE name = ANY (SELECT name FROM
                 blacklist)
```

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## Universal Quantification

- <expr> <op> ALL <subquery>
  - Evaluates to true if <expr> <op> <tuple> evaluates to true for **all** result tuples
  - Op is any comparison operator: =, <, >, ...

```
SELECT *
FROM nation
WHERE nname = ALL (SELECT nation FROM
                  blacklist)
```

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## Nested Subqueries Example

```
SELECT dep,name
FROM employee e
WHERE salary >= ALL (SELECT salary
                    FROM employee d
                    WHERE e.dep = d.dep)
```

| employee |     |        |
|----------|-----|--------|
| name     | dep | salary |
| Joe      | IT  | 2000   |
| Jim      | IT  | 300    |
| Bob      | HR  | 100    |
| Alice    | HR  | 10000  |
| Patrice  | HR  | 10000  |

| result |         |
|--------|---------|
| dep    | Name    |
| IT     | Joe     |
| HR     | Alice   |
| HR     | Patrice |

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## GROUP BY clause

- A list of expressions
  - Same as WHERE
  - No restriction to boolean
  - DBMS has to know how to compare = for data type
- Results are grouped by values of the expressions
- -> usually used for aggregation

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## GROUP BY restrictions

- If group-by is used then
  - SELECT clause can only use group by expressions or aggregation functions

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## GROUP BY clause examples

- GROUP BY R.a
  - group on single attribute
- GROUP BY (1+2)
  - allowed but useless (single group)
- GROUP BY salary / 1000
  - groups of salary values in buckets of 1000
- GROUP BY R.a, R.b
  - group on two attributes

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```
SELECT count(*) AS numP,  
       (SELECT count(*)  
        FROM friends o  
        WHERE o.with = f.name) AS numF  
FROM (SELECT DISTINCT name FROM friends) f  
GROUP BY (SELECT count(*)  
         FROM friends o  
         WHERE o.with = f.name)
```

### result

| numP | numF |
|------|------|
| 1    | 1    |
| 2    | 2    |

### friends

| name  | with  |
|-------|-------|
| Joe   | Jim   |
| Joe   | Peter |
| Jim   | Joe   |
| Jim   | Peter |
| Peter | Joe   |

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## HAVING clause

- A boolean expression
- Applied after grouping and aggregation
  - Only references aggregation expressions and group by expressions

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## HAVING clause examples

```
HAVING sum(R.a) > 100  
       -only return tuples with sum bigger than 100  
GROUP BY dep  
HAVING dep = 'IT' AND sum(salary) > 1000000  
       -only return group 'IT' and sum threshold
```

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## ORDER BY clause

- A list of expressions
- Semantics: Order the result on these expressions

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## ORDER BY clause examples

```
ORDER BY R.a ASC  
ORDER BY R.a  
       -order ascending on R.a  
ORDER BY R.a DESC  
       -order descending on R.a  
ORDER BY salary + bonus  
       -order by sum of salary and bonus
```

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## New and Non-standard SQL features (excerpt)

- **LIMIT / OFFSET**
  - Only return a fix maximum number of rows
  - FETCH FIRST n ROWS ONLY (DB2)
  - row\_number() (Oracle)
- **Window functions**
  - More flexible grouping
  - Return both aggregated results and input values

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## Parsing, Analysis, Conversion

1. Parsing
2. Analysis
3. Conversion

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## Analysis Goals

- **Semantic checks**
  - Table column exists
  - Operator, function exists
  - Determine type casts
  - Scope checks
- **Rewriting**
  - Unfolding views

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## Semantic checks

- ```
SELECT *  
FROM R  
WHERE R.a + 3 > 5
```
- Table R exists?
  - Expand \*: which attributes in R?
  - R.a is a column?
  - Type of constants 3, 5?
  - Operator + for types of R.a and 3 exists?
  - Operator > for types of result of + and 5 exists?

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## Database Catalog

- Stores information about database objects
- Aliases:
  - Information Schema
  - System tables
  - Data Dictionary

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## Typical Catalog Information

- **Tables**
  - Name, attributes + data types, constraints
- **Schema, DB**
  - Hierarchical structuring of data
- **Data types**
  - Comparison operators
  - physical representation
  - Functions to (de)serialize to string

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## Typical Catalog Information

- Functions (including aggregate/set)
  - Build-in
  - User defined (UDF)
- Triggers
- Stored Procedures
- ...

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## Type Casts

- Similar to automatic type conversion in programming languages
- Expression:  $R.a + 3.0$ 
  - Say  $R.a$  is of type integer
    - Search for a function  $+(int, float)$
  - Does not exist?
    - Try to find a way to cast  $R.a$ ,  $3.0$  or both to new data type
    - So that a function  $+$  exists for new types

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## Scope checks

- Check that references are in correct scope
- E.g., if GROUP BY is present than SELECT clause expression can only reference group by expressions or aggregated values

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## View Unfolding

- SQL allows for stored queries using CREATE VIEW
- Afterwards a view can be used in queries
- If view is not materialized, then need to replace view with its definition

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## View Unfolding Example

```
CREATE VIEW totalSalary AS
SELECT name, salary + bonus AS total
FROM employee
```

```
SELECT *
FROM totalSalary
WHERE total > 10000
```

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## View Unfolding Example

```
CREATE VIEW totalSalary AS
SELECT name, salary + bonus AS total
FROM employee
```

```
SELECT *
FROM (SELECT name,
             salary + bonus AS total
      FROM employee) AS totalSalary
WHERE total > 10000
```

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## Analysis Summary

- Perform semantic checks
  - Catalog lookups (tables, functions, types)
  - Scope checks
- View unfolding
- Generate internal representation during analysis

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## Parsing, Analysis, Conversion

1. Parsing
2. Analysis
3. Conversion

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## Conversion

- Create an internal representation
  - Should be useful for analysis
  - Should be useful optimization
- Internal representation
  - Relational algebra
  - Query tree/graph models
    - E.g., QGM (Query Graph Model) in Starburst

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## Relational Algebra

- Formal language
- Good for studying logical optimization and query equivalence (containment)
- Not informative enough for analysis
  - No datatype representation in algebra expressions
  - No meta-data

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## Other Internal Representations

- Practical implementations
  - Mostly following structure of SQL query blocks
  - Store data type and meta-data (where necessary)

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## Canonical Translation to Relational Algebra

- TEXTBOOK version of conversion
- Given an SQL query
- Return an equivalent relational algebra expression

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## Relational Algebra Recap

- Formal query language
- Consists of operators
  - Input(s): relation
  - Output: relation
  - -> Composable
- Set and Bag semantics version

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- Relation Schema
  - A set of attribute name-datatype pairs
- Relation (instance)
  - A (multi-)set of tuples with the same schema
- Tuple
  - List of attribute value pairs (or function from attribute name to value)

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## Set- vs. Bag semantics

- Set semantics:
  - Relations are Sets
  - Used in most theoretical work
- Bag semantics
  - Relations are Multi-Sets
    - Each element (tuple) can appear more than once
  - SQL uses bag semantics

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## Bag semantics notation

- We use  $\mathbf{t}^m$  to denote tuple  $t$  appears with multiplicity  $m$

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## Set- vs. Bag semantics

Set		Bag	
Name	Purchase	Name	Purchase
Peter	Guitar	Peter	Guitar
Joe	Drum	Peter	Guitar
Alice	Bass	Joe	Drum
		Alice	Bass
		Alice	Bass

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## Operators

- Selection
- Renaming
- Projection
- Joins
  - Theta, natural, cross-product, outer, anti
- Aggregation
- Duplicate removal
- Set operations

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## Selection

- Syntax:  $\sigma_c(R)$ 
  - R is input
  - C is a condition
- Semantics:
  - Return all tuples that match condition C
  - Set:  $\{ t \mid t \in R \text{ AND } t \text{ fulfills } C \}$
  - Bag:  $\{ t^i \mid t^i \in R \text{ AND } t \text{ fulfills } C \}$

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## Selection Example

- $\sigma_{a < 5}(R)$

R	
a	b
1	13
3	12
6	14

Result	
a	b
6	14

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## Renaming

- Syntax:  $\rho_A(R)$ 
  - R is input
  - A is a list of attribute renamings  $b \leftarrow a$
- Semantics:
  - Applies renaming from A to inputs
  - Set:  $\{ t.A \mid t \in R \}$
  - Bag:  $\{ (t.A)^i \mid t^i \in R \}$

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## Renaming Example

- $\rho_{c \leftarrow a}(R)$

R	
a	b
1	13
3	12
6	14

Result	
c	b
1	13
3	12
6	14

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## Projection

- Syntax:  $\Pi_A(R)$ 
  - R is input
  - A is a list of projection expressions
  - Standard: only attribute in A
- Semantics:
  - Project all inputs on projection expressions
  - Set:  $\{ t.A \mid t \in R \}$
  - Bag:  $\{ (t.A)^i \mid t^i \in R \}$

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## Projection Example

- $\Pi_b(R)$

R	
a	b
1	13
3	12
6	14

Result
b
13
12
14

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## Cross Product

- Syntax:  $R \times S$ 
  - R and S are inputs
- Semantics:
  - All combinations of tuples from R and S
  - = mathematical definition of cross product
  - Set:  $\{ (t,s) \mid t \in R \text{ AND } s \in S \}$
  - Bag:  $\{ (t,s)^{n^*m} \mid t^n \in R \text{ AND } s^m \in S \}$

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## Cross Product Example

- $R \times S$

R		S		Result			
a	b	c	d	a	b	c	d
1	13	a	5	1	13	a	5
1	13	b	3	1	13	b	3
1	13	c	4	1	13	c	4
3	12	a	5	3	12	a	5
3	12	b	3	3	12	b	3
3	12	c	4	3	12	c	4

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## Join

- Syntax:  $R \bowtie_C S$ 
  - R and S are inputs
  - C is a condition
- Semantics:
  - All combinations of tuples from R and S that match C
  - Set:  $\{ (t,s) \mid t \in R \text{ AND } s \in S \text{ AND } (t,s) \text{ matches } C \}$
  - Bag:  $\{ (t,s)^{n^*m} \mid t^n \in R \text{ AND } s^m \in S \text{ AND } (t,s) \text{ matches } C \}$

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## Join Example

- $R \bowtie_{a=d} S$

R		S		Result			
a	b	c	d	a	b	c	d
1	13	a	5				
3	12	b	3	3	12	b	3
		c	4				

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## Natural Join

- Syntax:  $R \bowtie S$ 
  - R and S are inputs
- Semantics:
  - All combinations of tuples from R and S that match on common attributes
  - A = common attributes of R and S
  - C = exclusive attributes of S
  - Set:  $\{ (t,s,C) \mid t \in R \text{ AND } s \in S \text{ AND } t.A=s.A \}$
  - Bag:  $\{ (t,s,C)^{n^*m} \mid t^n \in R \text{ AND } s^m \in S \text{ AND } t.A=s.A \}$

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## Natural Join Example

- $R \bowtie S$

R		S		Result		
a	b	c	a	a	b	c
1	13	a	5			
3	12	b	3	3	12	b
		c	4			

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## Left-outer Join

- Syntax:  $R \bowtie_C S$ 
  - R and S are inputs
  - C is condition
- Semantics:
  - R join S
  - $t \in R$  without matches fill S attributes with NULL
  - $\{ (t,s) \mid t \in R \text{ AND } s \in S \text{ AND } (t,s) \text{ matches } C \}$
  - union
  - $\{ (t, \text{NULL}(S)) \mid t \in R \text{ AND NOT exists } s \in S: (t,s) \text{ matches } C \}$

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## Left-outer Join Example

- $R \bowtie_{a=d} S$

R		S		Result			
a	b	c	d	a	b	c	d
1	13	a	5	1	13	NULL	NULL
3	12	b	3	3	12	b	3
		c	4				

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## Right-outer Join

- Syntax:  $R \bowtie_C S$ 
  - R and S are inputs
  - C is condition
- Semantics:
  - R join S
  - $s \in S$  without matches fill R attributes with NULL
  - $\{ (t,s) \mid t \in R \text{ AND } s \in S \text{ AND } (t,s) \text{ matches } C \}$
  - union
  - $\{ (\text{NULL}(R),s) \mid s \in S \text{ AND NOT exists } t \in R: (t,s) \text{ matches } C \}$

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## Right-outer Join Example

- $R \bowtie_{a=d} S$

R		S		Result			
a	b	c	d	a	b	c	d
1	13	a	5	NULL	NULL	a	5
3	12	b	3	3	12	b	3
		c	4	NULL	NULL	c	4

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## Full-outer Join

- Syntax:  $R \bowtie_C S$ 
  - R and S are inputs and C is condition
- Semantics:
  - $\{ (t,s) \mid t \in R \text{ AND } s \in S \text{ AND } (t,s) \text{ matches } C \}$
  - union
  - $\{ (\text{NULL}(R),s) \mid s \in S \text{ AND NOT exists } t \in R: (t,s) \text{ matches } C \}$
  - union
  - $\{ (t, \text{NULL}(S)) \mid t \in R \text{ AND NOT exists } s \in S: (t,s) \text{ matches } C \}$

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## Full-outer Join Example

- $R \bowtie_{a=d} S$

R		S		Result			
a	b	c	d	a	b	c	d
1	13	a	5	1	13	NULL	NULL
3	12	b	3	NULL	NULL	a	5
		c	4	3	12	b	3
				NULL	NULL	c	4

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## Semijoin

- Syntax:  $R \bowtie S$  and  $R \ltimes S$ 
  - R and S are inputs
- Semantics:
  - All tuples from R that have a matching tuple from relation S on the common attributes A
 
$$\{ t \mid t \in R \text{ AND exists } s \in S: t.A = s.A \}$$

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## Semijoin Example

- $R \ltimes S$

R		S		Result	
a	b	c	a	a	b
1	13	a	5	3	12
3	12	b	3		
		c	4		

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## Antijoin

- Syntax:  $R \not\bowtie S$ 
  - R and S are inputs
- Semantics:
  - All tuples from R that have no matching tuple from relation S on the common attributes A
 
$$\{ t \mid t \in R \text{ AND NOT exists } s \in S: t.A = s.A \}$$

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## Antijoin Example

- $R \not\bowtie S$

R		S		Result	
a	b	c	a	a	b
1	13	a	5	1	13
3	12	b	3		
		c	4		

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## Aggregation

- Syntax:  $\alpha_A \rho_G (R)$ 
  - A is list of aggregation functions
  - G is list of group by attributes
- Semantics:
  - Build groups of tuples according G and compute the aggregation functions from each group
  - $\{ (t.G, \text{agg}(G(t)) \mid t \in R \}$
  - $G(t) = \{ t' \mid t' \in R \text{ AND } t'.G = t.G \}$

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## Aggregation Example

- $\rho_{\text{sum}(a)} (R)$

R		Result	
a	b	sum(a)	b
1	1	4	1
3	1	9	2
6	2		
3	2		

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## Duplicate Removal

- Syntax:  $\delta(R)$ 
  - R is input
- Semantics:
  - Remove duplicates from input
  - Set: N/A
  - Bag:  $\{t^1 \mid t^n \in R\}$

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## Duplicate Removal Example

- $\delta(R)$

R	
a	b
1	13
1	13
6	14

Result	
a	b
1	13
6	14

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## Set operations

- Input: R and S
  - Have to have the same schema
    - Union compatible
  - Modulo attribute names
- Types
  - Union
  - Intersection
  - Set difference

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## Union

- Syntax:  $R \cup S$ 
  - R and S are union-compatible inputs
- Semantics:
  - Set:  $\{t \mid t \in R \text{ OR } t \in S\}$
  - Bag:  $\{(t,s)^{n+m} \mid t^n \in R \text{ AND } s^m \in S\}$ 
    - Assumption  $t^n$  with  $n < 1$  for tuple not in relation

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## Union Example

- $R \cup S$

R
a
1
3

S
b
1
2
3

Result
a
1
2
3
1
3

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## Intersection

- Syntax:  $R \cap S$ 
  - R and S are union-compatible inputs
- Semantics:
  - Set:  $\{t \mid t \in R \text{ AND } t \in S\}$
  - Bag:  $\{(t,s)^{\min(n,m)} \mid t^n \in R \text{ AND } s^m \in S\}$

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## Intersection Example

- $R \cap S$

R	S	Result										
<table border="1"><thead><tr><th>a</th></tr></thead><tbody><tr><td>1</td></tr><tr><td>3</td></tr></tbody></table>	a	1	3	<table border="1"><thead><tr><th>b</th></tr></thead><tbody><tr><td>1</td></tr><tr><td>2</td></tr><tr><td>3</td></tr></tbody></table>	b	1	2	3	<table border="1"><thead><tr><th>a</th></tr></thead><tbody><tr><td>1</td></tr><tr><td>3</td></tr></tbody></table>	a	1	3
a												
1												
3												
b												
1												
2												
3												
a												
1												
3												

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## Set Difference

- Syntax:  $R - S$ 
  - R and S are union-compatible inputs
- Semantics:
  - Set:  $\{ (t) \mid t \in R \text{ AND NOT } t \in S \}$
  - Bag:  $\{ (t,s)^{n-m} \mid t^n \in R \text{ AND } s^m \in S \}$

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## Set Difference Example

- $R - S$

R	S	Result									
<table border="1"><thead><tr><th>a</th></tr></thead><tbody><tr><td>1</td></tr><tr><td>5</td></tr></tbody></table>	a	1	5	<table border="1"><thead><tr><th>b</th></tr></thead><tbody><tr><td>1</td></tr><tr><td>2</td></tr><tr><td>3</td></tr></tbody></table>	b	1	2	3	<table border="1"><thead><tr><th>a</th></tr></thead><tbody><tr><td>5</td></tr></tbody></table>	a	5
a											
1											
5											
b											
1											
2											
3											
a											
5											

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## Canonical Translation to Relational Algebra

- TEXTBOOK version of conversion
- Given an SQL query
- Return an equivalent relational algebra expression

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## Canonical Translation

- **FROM** clause into joins and cross-products
  - Cross-product between list items
  - Joins into their algebra counter-part
- **WHERE** clause into selection
- **SELECT** clause into projection and renaming
  - If it has aggregation functions use aggregation
  - **DISTINCT** into duplicate removal

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## Canonical Translation

- **GROUP BY** clause into aggregation
- **HAVING** clause into selection
- **ORDER BY** - no counter-part
  
- Then turn joins into crossproducts and selections

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## Set Operations

- **UNION ALL** into union
- **UNION** duplicate removal over union
- **INTERSECT ALL** into intersection
- **INTERSECT** add duplicate removal
- **EXCEPT ALL** into set difference
- **EXCEPT** apply duplicate removal to inputs and then apply set difference

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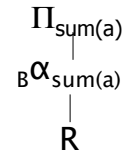
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## Example: Relational Algebra Translation

```
SELECT sum(R.a)
FROM R
GROUP BY b
```



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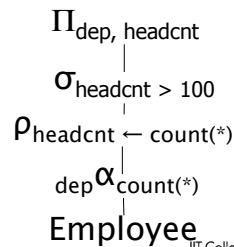
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## Example: Relational Algebra Translation

```
SELECT dep, headcnt
FROM (SELECT count(*) AS headcnt, dep
      FROM employee
      GROUP BY dep)
WHERE headcnt > 100
```



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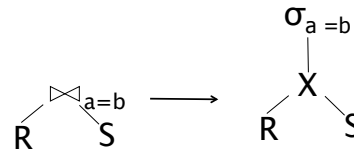
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## Example: Relational Algebra Translation

```
SELECT *
FROM R JOIN S ON (R.a = S.b)
```



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## Parsing and Analysis Summary

- SQL text -> Internal representation
- Semantic checks
- Database catalog
- View unfolding

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