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## Final Exam

## December 5th, 2017 <br> 2:00-4:00

## CS425 - Database Organization Results



## Instructions

- Try to answer all the questions using what you have learned in class. Keep hard questions until the end.
- When writing a query, write the query in a way that it would work over all possible database instances and not just for the given example instance!
- The exam is closed book and closed notes!

Consider the following laptop database schema and example instance:

## person

| name | job | dept | salary |
| :---: | :---: | :---: | :---: |
| Alice | manager | HR | 100,000 |
| Peter | admin | IT | 100,000 |
| Bob | case worker | HR | 100,000 |

invitations

| name | mId | attendance |
| :---: | :---: | :---: |
| Peter | 1 | yes |
| Bob | 1 | maybe |
| Aice | 2 | no |
| Bob | 2 | maybe |
| Peter | 2 | yes |

meeting

| mId | building | room | date | createdBy |
| :---: | :---: | :---: | :---: | :---: |
| 1 | Stuart | 208 | $12-07-17$ | Peter |
| 21 | Life Sciences | 540 | $12-08-17$ | Peter |

## location

| building | room | maxOccupancy | type |
| :---: | :---: | :---: | :---: |
| Stuart | 104 | 150 | classroom |
| Stuart | 208 | 53 | classroom |
| Life Sciences | 540 | 5 | office |

## Hints:

- Attributes with black background form the primary key of a relation (e.g., name for relation person)
- The attribute name of relation invitations is a foreign key to relation person
- The attribute mId of relation invitations is a foreign key to relation meeting
- The attributes building and room of relation meeting are a foreign key to relation location.
- The attribute createdBy of relation meeting is a foreign key to relation person
- All foreign keys have been created with the CASCADE option.


## Part 1.1 Relational Algebra (Total: 20 Points)

The queries in this part should be written using the set semantics version of relational algebra.

## Question 1.1.1 (6 Points)

Write a relational algebra expression that returns the mId for all meetings that a person named "Peter" got invited to (table invitations).

## Solution

$$
\pi_{m I d}\left(\sigma_{n a m e=\text { Peter }}(\text { invitations })\right)
$$

## Question 1.1.2 (7 Points)

Write a relational algebra expression that returns all meetings (building, room, and date) that take place in offices (location type) and are overbooked. A meeting is overbooked if the number of people that have been invited to the meeting is larger than the maximal occupancy of the room (max0ccupancy).

## Solution

$\pi_{\text {building,room,date }} \sigma_{\text {maxOccupancy }<\text { numInvites }}\left({ }_{m I d} \mathcal{G}_{\text {numInvites } \leftarrow \operatorname{count(*)}}(\right.$ invitations $) \bowtie$ meeting $\bowtie \sigma_{\text {type }=o f f i c e}($ location $\left.)\right)$

## Question 1.1.3 (7 Points)

Write a relational algebra expression that returns the mId of meetings where all invited persons have confirmed their attendance (attendance is yes)

## Solution

$$
\pi_{m I d}\left({ }_{m I d} \mathcal{G}_{n \leftarrow \text { count }(*)}(\text { invitations }) \bowtie_{m I d} \mathcal{G}_{n \leftarrow \text { count }(*)}\left(\sigma_{\text {attendence }=\text { yes }}(\text { invitations })\right)\right)
$$

## Part 1.2 SQL - DDL (Total: 7 Points)

## Question 1.2.1 (7 Points)

Write an SQL statement that creates a new relation equipment that records information about equipement available at locations. For each piece of equipement we want to store a model, the category (e.g., audio, video), its price, its weight, and a building and room. The combination of building and room identifies a location from table location. A piece of equipement is identified by a combination of its model and the location where it is at. Ensure that the price and weight of a piece of equipment is a positive number.

## Solution

```
CREATE TABLE equipment (
    model Varchar(100),
    category VARCHAR(50),
    price NUMERIC,
    weight NUMERIC,
    building VARCHAR(200),
    room VARCHAR(50),
    PRIMARY KEY (model, building, room),
    FOREIGN KEY (building, room) REFERENCES location,
    CHECK(price > 0 AND weight > 0)
);
```


## Part 1.3 SQL - Queries (Total: 20 Points)

## Question 1.3.1 (4 Points)

Write an SQL query that returns meetings created by Peter for which not all participants have confirmed their attendance.

## Solution

SELECT DISTINCT mId
FROM meeting
WHERE mId IN (SELECT mId FROM invitations WHERE attendance $<>$ 'yes')
Alternatively, use a join.

## Question 1.3.2 (3 Points)

Write an SQL query that returns the number of meetings for each date.

## Solution

```
SELECT count(*) AS numMeet, date
FROM meeting
GROUP BY date;
```


## Question 1.3.3 (6 Points)

Write an SQL query that returns the building, room, and date of the meeting with the most invited persons.

## Solution

```
WITH numInvited AS (
    SELECT count(*) AS n, mId
    FROM invitations
)
SELECT mId
FROM numInvited
WHERE n IN (SELECT max(n) FROM numInvited);
```


## Question 1.3.4 (7 Points)

Write an SQL query that returns the names of persons which have not been invited to any meetings yet.

## Solution

```
SELECT name
FROM person
WHERE name NOT IN (SELECT name
    FROM invitations);
```


## Part 1.4 ER Design (Total: 22 Points)

## Question 1.4.1 (22 Points)

Design an ER-diagram for a bank that implements the following requirements. The database you design should store information about customers, accounts, branches and employees

- Customer: Customers are identified by their SSN. For each customer we store a name, multiple phone numbers (one or more), and an occupation.
- Account: Accounts are identified by an account number and the branch they belong to. For each account we store a balance and the type of account (e.g., savings).
- An account belongs to one or more customers. A customer can have any number of accounts.
- An account belongs to exactly one branch. Obviously, branches can have multiple accounts (branches are not required to have accounts).
- Branch: A branch is identified by a unique branch code. For each branch we want to store a location and number of employees.
- Employee: Employees are identified by their $S S N$. For each employee we store a name and salary.
- An employee works for exactly one branch. Banches have one or more employees.
- An employee is the contact for zero or more customers. Every customer has at most one employee as a contact.


## Solution



## Part 1.5 Normalization and Functional Dependencies (Total: 22 Points)

Consider the following relation $R(A, B, C, D, E, F)$ and functional dependencies $\mathcal{F}$ that hold over this relation.

$$
\begin{aligned}
\mathcal{F}=A & \rightarrow B, C \\
C & \rightarrow D, A \\
D & \rightarrow E \\
A, D & \rightarrow F
\end{aligned}
$$

## Question 1.5.1 (4 Points)

Determine all candidate keys of $R$.

## Solution

$$
\{A\}
$$

$\{C\}$

## Question 1.5.2 (8 Points)

Compute the canonical cover of $F$. Show each step of the generation according to the algorithm shown in class.

## Solution

1th iteration: 1) Apply union rule to combine right-hand sides: no changes
1th iteration: 2) Find extraneous attribute:
$D$ is extraneous in $A, D \rightarrow F$

$$
\begin{aligned}
\mathcal{F}_{1}=A & \rightarrow B, C \\
C & \rightarrow D, A \\
D & \rightarrow E \\
A & \rightarrow F
\end{aligned}
$$

2nd iteration: 1) Apply union rule to combine right-hand sides:

$$
\begin{aligned}
\mathcal{F}_{1}=A & \rightarrow B, C, F \\
C & \rightarrow D, A \\
D & \rightarrow E
\end{aligned}
$$

2nd iteration: 2) Find extraneous attribute:
no changes

## Question 1.5.3 (6 Points)

Apply the 3NF decomposition algorithm to relation $R$.

## Solution

1st step: Create one relation for each FD in $F_{c}$ :

$$
\begin{aligned}
& R_{1}(A, B, C, F) \\
& R_{2}(A, C, D) \\
& R_{3}(D, E)
\end{aligned}
$$

2st step: Remove redundant relations
None are redundant
]
3st step: If no relation contains all attributes of a candidate key, then create a relation with all attributes of a candidate key
$R_{1}$ and $R_{2}$ contain a candidate key.

## Question 1.5.4 (4 Points)

In what normal forms is relation $R$ ?
Solution

## 1 NF

in 2NF because all candidate keys have only one attribute
It is not in 3 NF , because in $D \rightarrow E$ neither is $D$ a superkey, nor is $E$ part of any candidate key.

## Part 1.6 Concurrency Control (Total: 9 Points)

## Question 1.6.1 (9 Points)

For each of the following schedules determine which properties this schedule has. E.g., a schedule may be recoverable and cascade-less. Consider the following notation for operations of transactions:

| $w_{1}(A)$ | transaction 1 wrote item $A$ |
| :---: | :--- |
| $r_{1}(A)$ | transaction 1 read item $A$ |
| $c_{1}$ | transaction 1 commits |
| $a_{1}$ | transaction 1 aborts |

$$
\begin{aligned}
& S_{1}=r_{3}(B), w_{3}(C), r_{1}(A), w_{1}(D), w_{2}(A), r_{2}(C), w_{4}(A), c_{2}, c_{4}, r_{3}(D), c_{3}, c_{1} \\
& S_{2}=r_{3}(C), w_{1}(A), r_{2}(B), w_{3}(B), c_{3}, w_{1}(C), c_{1}, r_{2}(A), c_{2} \\
& S_{3}=w_{3}(E), w_{4}(A), w_{1}(D), r_{1}(B), w_{1}(C), c_{1}, r_{2}(D), r_{4}(D), w_{3}(B), w_{4}(C), c_{4}, c_{3}, c_{2}
\end{aligned}
$$

$\square \quad S_{1}$ is recoverable
$\square \quad S_{1}$ is cascade-less

- $\quad S_{1}$ is conflict-serializable
- $\quad S_{2}$ is recoverable
- $\quad S_{2}$ is cascade-less
$\square \quad S_{2}$ is conflict-serializable

■ $\quad S_{3}$ is recoverable

- $\quad S_{3}$ is cascade-less
- $S_{3}$ is conflict-serializable

