

# Syntactic Substitution

## CS 536: Science of Programming, Spring 2023

### A. Why

- Syntactic substitution is used in the assignment rules to calculate the weakest precondition (and as we'll see, the strongest postcondition).

### B. Objectives

At the end of this activity you should

- Be able to calculate a syntactic substitution on an expression or predicate.

### C. Questions

1. Calculate  $(x + i * b + c = 0)[i + 1 / i][b + c / c]$ .
2. Let  $p$  be  $\exists x. x < y \wedge x^2 \geq y + k$ 
  - a. What is  $p[5 / x]$ ?
  - b. What is  $p[5 / y]$ ?
  - c. What is  $p[5 / z]$ ?
  - d. What is  $p[y * 2 / y]$ ?
  - e. What is  $p[y * k / y]$ ?
  - f. What is  $p[(x + y) \div 2 / y]$ ?
3. Give an example where  $(v * w)[e / v][e' / w]$  and  $(v * w)[e' / w][e / v]$  are
  - a. Syntactically equal ( $\equiv$ )
  - b. Syntactically unequal ( $\neq$ ).
4. In the predicate  $(\exists x. x < y \wedge x^2 \geq y + k)$ ,  $x$  is bound, but in  $(x < y \wedge x^2 \geq y + k)$ ,  $x$  is free — is this a contradiction?
5. For substitution into a quantified predicate  $(Qx. p)[e / v]$ , we could just say “always rename  $x$  to something fresh.” Why do you think we didn't do that?
6. Let  $p \equiv (\forall x. \exists y. R(x, y, z)) \wedge (\exists z. R(x, y, z))$  where  $R$  is a boolean function over three arguments.
  - a. What is  $p[17 / w]$ ?
  - b. What is  $p[17 / x]$ ?
  - c. What is  $p[y * 2 / y]$ ?
  - d. What is  $p[y * 2 / z]$ ?
  - e. What is  $p[a * z / y][a + b / z]$ ?

**Solution to Practice 12 (Syntactic Substitution)**

1.  $(x + i * b + c = 0)[i+1/i][b+c/c] \equiv (x + (i+1) * b + c = 0)[b+c/c]$   
 $\equiv x + (i+1) * b + (b+c) = 0$
2. Let  $p \equiv \exists x. x < y \wedge x^2 \geq y+k$ 
  - 2a.  $p[5/x] \equiv p$  unchanged
  - 2b.  $p[5/y] \equiv (\exists x. x < y \wedge x^2 \geq y+k)[5/y] \equiv \exists x. x < 5 \wedge x^2 \geq 5+k$
  - 2c.  $p[5/z] \equiv p$  unchanged because  $z$  doesn't occur in  $p$
  - 2d.  $p[y^*2/y] \equiv (\exists x. x < y \wedge x^2 \geq y+k)[y^*2/y] \equiv \exists x. x < y^*2 \wedge x^2 \geq y^*2+k$
  - 2e.  $p[y^*k/y] \equiv (\exists x. x < y \wedge x^2 \geq y+k)[y^*k/y] \equiv \exists x. x < y^*k \wedge x^2 \geq y^*k+k$
  - 2f.  $p[(x+y) \div 2/y] \equiv (\exists x. x < y \wedge x^2 \geq y+k)[(x+y) \div 2/y]$   
 $\equiv \exists v. (x < y \wedge x^2 \geq y+k)[v/x][(x+y) \div 2/y]$  (note renaming of  $x$  to  $v$ )  
 $\equiv \exists v. (v < y \wedge v^2 \geq y+k)[(x+y) \div 2/y]$   
 $\equiv \exists v. v < (x+y) \div 2 \wedge v^2 \geq (x+y) \div 2 + k$
3. (Cases where  $(v * w)[e/v][e'/w]$  and  $(v * w)[e'/w][e/v]$  are  $\equiv$  and  $\neq$ .)
  - 3a. One case is when  $v$  doesn't occur in  $e'$  and  $w$  doesn't occur in  $e$ .  
 Example:  $(v * w)[v^*2/v][a^*w/w] \equiv (v^*2 * w)[a^*w/w]$   
 $\equiv v^*2 * (a^*w) \equiv (v * (a^*w))[v^*2/v]$   
 $\equiv (v * w)[a^*w/w][v^*2/v]$
  - 3b. One case is when  $w$  appears in  $e$  and  $v$  appears in  $e'$ , at least, for certain  $e$  and  $e'$ .  
 Example:  $(v * w)[w-3/v][a^*v/w] \equiv ((w-3) * w)[a^*v/w] \equiv (w-3) * (a^*v)$   
 but  $(v * w)[a^*v/w][w-3/v] \equiv (v * (a^*v))[w-3/v] \equiv (w-3) * (a^*(w-3))$
4. No, this is exactly what a quantifier does: It captures the  $x$ 's that are free in its body and makes them bound with respect to any context that includes the quantified predicate.
5. Because it's confusing/annoying to have to come up with fresh variables if we don't really need them.
6. Substitutions with  $p \equiv (\forall x. \exists y. R(x, y, z)) \wedge \exists z. R(x, y, z)$ :
  - 6a.  $p[17/w] \equiv p$  (because  $w$  doesn't occur in  $p$ )
  - 6b.  $p[17/x] \equiv (\forall x. \exists y. R(x, y, z)) \wedge \exists z. R(17, y, z)$
  - 6c.  $p[y^*2/y] \equiv (\forall x. \exists y. R(x, y, z)) \wedge \exists z. R(x, y^*2, z)$
  - 6d.  $p[y^*2/z] \equiv (\forall x. \exists v. R(x, y, z)[v/y][y^*2/z]) \wedge \exists z. R(x, y, z)$  (using  $v$  as a fresh variable)  
 $\equiv (\forall x. \exists v. R(x, v, y^*2)) \wedge \exists z. R(x, y, z)$
  - 6e.  $p[a^*z/y][a+b/z]$   
 $\equiv (\forall x. \exists y. R(x, y, z)) \wedge \exists v. R(x, y, z)[v/z][a^*z/y][a+b/z]$  (using  $v$  as a fresh variable)  
 $\equiv ((\forall x. \exists y. R(x, y, z)) \wedge \exists v. R(x, y, v)[a^*z/y])[a+b/z]$  (only the first  $y$  is quantified)

$$\equiv ((\forall x. \exists y. R(x, y, z)) \wedge \exists v. R(x, a * z, v)) [a + b / z]$$

$$\equiv ((\forall x. \exists y. R(x, y, a + b)) \wedge \exists v. R(x, a * (a + b), v)) \quad \text{(parens around } a + b \text{ are required)}$$

(No renaming necessary because we have no quantification of  $a$  or  $b$ .)