# **Disjoint Programs**

## CS 536: Science of Programming, Spring 2023

#### A. Why?

- Parallel programs are harder to reason about because parts of a parallel program can interfere with other parts.
- Reducing the amount of interference between threads lets us reason about parallel programs by combining the proofs of the individual threads.
- Disjoint parallel programs ensure that no thread can interfere with the execution of another thread.
- The sequentialization rule (though imperfect) gives us a way to prove the correctness of disjoint parallel programs.

### **B.** Objectives

After this class, you should know

- What distinguishes disjoint parallel programs
- The sequentialization rule for disjoint parallel programs

### C. Disjoint Parallel Programs

- The following example shows a program with an innocuous kind of parallelism: no matter what order we execute the threads in, we end up in the same final state.
- *Example 1*: Here is the the evaluation graph for  $\langle [x := a + 1 || y := a * 2], \sigma \rangle$  where  $\beta = \sigma(a)$ . The final state is  $\sigma[x \mapsto \beta + 1][y \mapsto 2\beta]$  if we take the left-hand path and  $\sigma[y \mapsto 2\beta][x \mapsto \beta + 1]$  if we take the right-hand path, but since  $x \neq y$ , these two states are exactly the same, so we show two arrows going to the final state configuration.



**Disjoint Parallel Programs (DPPs)** model computations with *n* processors that share readable memory but not writable memory. In a disjoint parallel program, for every variable *x* that appears in the program, either

- One or more threads reads *x* (i.e., look up its value) and no thread writes to *x* (i.e., assigns it a value).
- Exactly one thread writes to *x* and that thread can read *x*; no other thread can read or write *x*.
- **Definition**: vars (S) is the set of variables that appear in S and change (S) is the set of variables that appear on the left-hand side of assignments in S. Since these sets are statically calculable, they are  $\supseteq$  the sets of variables actually read or written at runtime. Another way to say this is that execution order isn't taken into account. E.g., If  $S \equiv if B$  then x := 1 else y := 1 fi then change (S) = {x, y}.
- **Definition**: The threads  $S_1$ ,  $S_2$ , ...,  $S_n$  are **pairwise disjoint** if no thread can change the variables used by any other: I.e., *change* ( $S_i$ )  $\cap$  *vars* ( $S_j$ ) =  $\emptyset$  for all  $1 \le i \ne j \le n$ .
- **Example 2**:  $S_1 \equiv a := a + x$  and  $S_2 \equiv y := y + x$  are disjoint: *change*  $(S_1) = \{a\}$  and *vars*  $(S_2) = \{x, y\}$  and these sets don't intersect. Similarly, *change*  $(S_2) = \{y\}$  and *vars*  $(S_1) = \{a, x\}$  and those sets don't intersect.
- **Definition**: For n > 1, if  $S_1$ ,  $S_2$ , ...,  $S_n$  are pairwise disjoint, then  $[S_1 || ... || S_n]$  is their **disjoint** *parallel composition*. We also say  $[S_1 || ... || S_n]$  is a **disjoint parallel program** (DPP).
- Example 3:
  - *a* := *a* + *x* and *y* := *y* + *x* are disjoint, so [*a* := *a* + *x* || *y* := *y* + *x*] is a DPP.
  - a := x + 1 and y := x + 2 are disjoint, so [a := x + 1 || y := x + 2] is a DPP.
  - a := x and x := c are not disjoint so [a := x || x := c] isn't a DPP.
  - a := x and x := x + 1 are not disjoint so [a := x || x := x + 1] isn't a DPP.
  - *x* := *a* + 1 and *x* := *b* \* 2 are not disjoint so [*x* := *a* + 1 || *x* := *b* \* 2 ] isn't a DPP.
- An easy way to calculate whether or not programs are pairwise disjoint is to use a table listing the *change* ( $S_i$ ) and *vars* ( $S_k$ ) sets for each pair of pair of threads.
- Definitions
  - Thread  $S_i$  (*apparently*) *interferes with* thread  $S_k$  if *change*  $(S_i) \cap vars(S_k) \neq \emptyset$ .
  - Thread  $S_j$  is disjoint with thread  $S_k$  if change  $(S_j) \cap vars(S_k) = \emptyset$ .
  - Threads  $S_j$  and  $S_k$  are *disjoint* if they are disjoint with each other ( $S_j$  with  $S_k$  and  $S_k$  with  $S_j$ ).
  - A collection of threads is *pairwise disjoint* if each pair of two different threads is disjoint. Note for a collection of *n* threads, there are *n* \*(*n*-1) such pairs.
- For convenience and flexibility, we'll often omit the "apparently" in "apparently interferes with" and we'll allow phrases like "doesn't interfere with" and "isn't disjoint with" as synonyms or "is disjoint with" and "(apparently) interferes with". Similarly, "can/can't change the variables of" means "interferes with/is disjoint with".

• *Example 4*: Here is a table for *a* : = *a* + *x* and *y* : = *y* + *x*, showing that they are pairwise disjoint:

j	k	Change j	Vars k	j Disjoint with k
1	2	а	x y	yes
2	1	у	a x	yes

Conclusion: The two programs are pairwise disjoint.

• *Example 5:* Here's a table for and *a* : = *x* and *x* : = *c* showing that while the first doesn't interfere with the second, the second does interfere with the first, which makes the pair not disjoint.

j	k	Change j	Vars k	j Disjoint with k
1	2	а	сх	yes
2	1	X	a x	no

Conclusion: The two programs are not pairwise disjoint.

- *Example 6:* Here's a table showing the interference relationships for the three threads
  - a := v; v := c + b
  - *if* b > 0 *then* b := c \* b *else* c := c \* 2 *fi*
  - while  $d \ge 0$  do  $d := d \div 2 c$  od

j	k	Change j	Vars k	j Disjoint with k
1	2	a v	b c	yes
1	3	a v	c d	yes
2	1	b c	a b c v	no
2	3	b c	c d	no
3	1	d	a b c v	yes
3	2	d	b c	yes

Conclusion: Thread 2 interferes with threads 1 and 3; the other combinations are disjoint

- *Example 7:* This example is similar to Example 6 but only causes interference in one arm of the conditional.
  - *a*:=*v*
  - *if*  $b \le 0$  *then* v := c + b *else* v := b \* 2 *fi*
  - while  $d \ge 0$  do  $d := d \div 2 c$  od

j	k	Change j	Vars k	j Disjoint with k
1	2	а	bсv	yes
1	3	а	c d	yes
2	1	bν	a v	no
2	3	bν	c d	yes
3	1	d	a v	yes
3	2	d	bcv	yes

Conclusion: Thread 2 interferes with thread 3; the other combinations are disjoint

- Disjointedness Test Can Overestimate Amount of Interference: The disjointedness test is a static (compile-time) that aims for safety over accuracy when it comes to looking for interference. Not all the variables in *change(...)* and *vars(...)* are necessarily used at runtime. The tests for *if B then S*<sub>1</sub> *else S*<sub>2</sub> *fi* use the union of the variables for *S*<sub>1</sub> and for *S*<sub>2</sub>, so a variable that is appears only in one branch of the *if-fi* is counted regardless of *B* or the runtime state.
- Passing a disjointedness test of thread *j* against thread *k* guarantees that interference cannot happen, no matter what the starting state is, and no matter what execution path gets taken.
- Failing a disjointedness test simply says we can't guarantee that thread *j* interferes with thread *k*. Without knowing more about the threads and the starting state, we can't say anything about whether interference in fact doesn't occur, or occurs only with some start states, or only along some execution paths. Failing a test certainly does not guarantee that interference is inevitable at runtime.

### D. The Diamond Property; Confluence

- The parallelism in DPPs is innocuous because different threads don't interfere with each other's execution: If one thread modifies a variable, that modification can't be overwritten by any other thread. Also, since the modified variable can't even be inspected by other threads, we know the modification won't affect how the other threads execute. This "disjointedness" causes all the evaluation paths to end in the same configuration.
- In general, with  $[S_1 || S_2]$ , we can execute  $S_1$  or  $S_2$  for one step. In an evaluation graph, the current evaluation path splits into two paths. With parallel programs in general, there might be

no way for those two paths to eventually merge back together into one path, but DPP's are different.

- Let  $[S_1 || S_2]$  be a DPP. If  $\langle S_1, \sigma \rangle \rightarrow \langle T_1, \sigma_1 \rangle$  and  $\langle S_2, \sigma \rangle \rightarrow \langle T_2, \sigma_2 \rangle$  then there is a state  $\tau$  such that  $\langle [T_1 || S_2], \sigma_1 \rangle$  and  $\langle [S_1 || T_2], \sigma_2 \rangle$  both  $\rightarrow \langle [T_1 || T_2], \tau \rangle$ . (Note: the same  $\tau$ .)
- This is called the *diamond property* because people often draw it as in the diagram shown below. The claim is that if the solid arrows exist then the dashed arrows will exist.



- The diamond property holds because the threads are disjoint so that it doesn't matter which thread you execute first: Any change in state caused by  $S_1$  will be the same whether or not you execute part of  $S_2$  (and vice-versa).
- The diamond property is actually stronger than what we discussed earlier, where an execution
  path splits and then eventually can merge back together. This weaker property is called
  confluence (or Church-Rosser, after two investigators of the lambda calculus), where the onestep arrows are replaced by zero-or-more-step arrows (→ becomes →\*). The diamond property
  is stronger because it implies confluence, but the converse is not true.



- Basically, a computation system in general (not just parallel programs) is confluent if execution doesn't have side effects. Everyday arithmetic expressions are confluent; C expressions with assignment operators are not.
- Because execution of disjoint parallel programs is confluent, if execution terminates, it terminates in a unique state.

- **Theorem** (**Unique Result of Disjoint Parallel Program**): If *S* is a disjoint parallel program then either  $M(S, \sigma) = \{\tau\}$  (for some  $\tau \in \Sigma$ ),  $\{\perp_d\}$ , or  $\{\perp_e\}$ .
- **Proof**: If  $\langle S, \sigma \rangle \rightarrow^* \langle E, \tau_1 \rangle$  and  $\langle S, \sigma \rangle \rightarrow^* \langle E, \tau_2 \rangle$ , then by confluence, there exists some common  $\langle S', \tau \rangle$  that both  $\langle E, \tau_1 \rangle$  and  $\langle E, \tau_2 \rangle$  can  $\rightarrow^*$  to. Since no semantics rule take  $\langle E, ... \rangle \rightarrow$  anything, the  $\rightarrow^*$  relations must both involve zero steps, so S' is E and  $\tau = \tau_1 = \tau_2$ .



### E. Sequentialization Proof Rule for Disjoint Parallel Programs

- We'll have three rules for proving disjoint parallel programs correct: a sequential rule and two parallel rules. The sequential rule is powerful but burdensome.
- **Definition**: The **sequentialization** of the parallel statement  $[S_1 || ... || S_n]$  is the sequence  $S_1; ...; S_n$ . The **sequentialized execution** of the parallel statement is the execution of its sequentialization: We evaluate  $S_1$  completely, then  $S_2$  completely, and so on.
- Since it doesn't matter how we interleave evaluation of pairwise disjoint parallel threads, their total effect will be the same as if we had evaluated them sequentially.

#### Sequentialization Rule

- If the sequential threads  $S_1, ..., S_n$  are pairwise disjoint, then
  - 1.  $\{p\}S_1;...;S_n\{q\}$
  - 2.  $\{p\}[S_1 || ... || S_n]\{q\}$

Sequentialization, 1

• *Example 4:* First, prove { *T* } *a* := *x* + 1 ; *b* := *x* + 2 { *a* + 1 = *b* }:

$$\{T\}a := x + 1 \{a = x + 1\}; b := x + 2 \{a = x + 1 \land b = x + 2\} \{a + 1 = b\}$$

• From the sequentialization rule for disjoint parallel programs, it follows that

$$\{T\}[a:=x+1 || b:=x+2]\{a+1=b\}$$

- *Example 5*: From  $\{x = y\} \{x + 1 = y + 1\} x := x + 1; \{x = y + 1\} y := y + 1 \{x = y\}$ 
  - We can prove { *x* = *y* } *x* := *x* + 1 ; *y* := *y* + 1 { *x* = *y* }
  - So by the sequentialization rule for disjoint parallel programs,

$${x=y}[x:=x+1 || y:=y+1]{x=y}$$

Since the order of evaluation the threads doesn't matter for a DPP, we can actually shuffle the order of the threads in the sequentialized program. E.g., since {p}[S<sub>1</sub> || S<sub>2</sub>]{q} and {p}[S<sub>2</sub> || S<sub>1</sub>]{q} produce the same final state, so do {p}S<sub>1</sub>; S<sub>2</sub> {q} and {p}S<sub>2</sub>; S<sub>1</sub> {q}.

- *Example 6*: As a concrete example of reordering, take Example 4:
  - $\{T\}a := x + 1; b := x + 2\{a + 1 = b\}$  [before reordering]
  - {*T*}*b*:=*x*+2;*a*:=*x*+1{*a*+1=*b*} [after reordering]