

# Lambda (λ) calculus

Very simple + powerful PL  
 "Universal" model of functional PLs  
 Simple to reason about!

$M ::= x \mid \lambda x. M \mid M M$

$\uparrow$  function "abstraction"  
 $\uparrow$  application

That's all!

## Examples

$\lambda x. x$	Identity
$\lambda x. \lambda y. x$	First
$\lambda x. \lambda y. y$	Second
$\lambda f. \lambda x. f x$	Apply
$\lambda f. f f$	Self-apply
$\lambda g. \lambda f. \lambda x. g(f x)$	Composition

no types, so this is fine!

Abstractions are right-associative, app. left assoc.

$$\lambda x. \lambda y. \lambda z. x y z \equiv \lambda x. (\lambda y. (\lambda z. (x y) z))$$

Application has higher precedence than abstraction

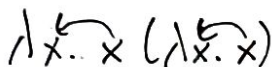
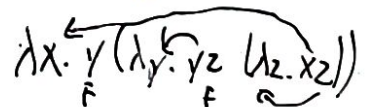
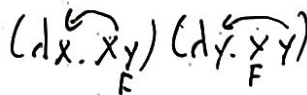
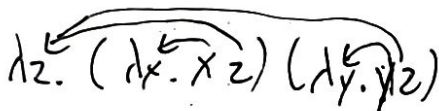
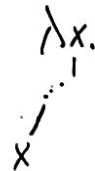
$$\lambda x. x y \lambda z. x z \equiv \lambda x. ((x y) (\lambda z. (x z)))$$

## Free + bound variables

Abstractions bind variables

- A var. is bound if it's in the scope of a binding

in AST:



# Substitution

$[N/x]M$  substitute  $N$  for free  $x$ 's in  $M$

$$[y/x]x = y$$

$$[y/x](x z (\lambda x. x)) = y z (\lambda x. x) \quad \leftarrow \text{not free!}$$

$$[y/x](\lambda z. x x) = \lambda z. y y$$

$$[y/x](\lambda y. y x) \neq \lambda y. y y \quad y \text{ is "captured"}$$

$\wedge$  This refers to some outer  $y$

## $\alpha$ -equivalence

"Names of bound variables don't matter"

$$\lambda x. x \equiv_{\alpha} \lambda y. y \equiv_{\alpha} \lambda z. z$$

$$\lambda x. \lambda y. x y \equiv_{\alpha} \lambda a. \lambda b. a b$$

## Examples

$$\lambda x. \lambda y. y x \stackrel{?}{\equiv} \lambda g. \lambda f. g f \quad \text{No}$$

$$\lambda x. x (\lambda y. z y) \stackrel{?}{\equiv} \lambda i. i (\lambda j. k j) \quad \text{No}$$

$$\lambda x. \lambda y. \lambda z. x z y \equiv \lambda a. \lambda y. \lambda b. a b y \quad \text{Yes}$$

Preventing capture: If we're substituting a term w/ a Free Var  $y$  into  $\lambda y. M$ ,  $\alpha$ -convert (convert to  $\alpha$ -equivalent term)

$$[y/x](\lambda y. y x) = [y/x](\lambda z. z x) = \lambda z. z y$$

$$[N/x]x = N$$

$$[N/x]y = y \quad x \neq y$$

$$[N/x](\lambda x. M) = \lambda x. M \quad \leftarrow \text{free variables}$$

$$[N/x](\lambda y. M) = \lambda y. [N/x]M \quad y \notin FV(N)$$

$$[N/x](M_1 M_2) = [N/x]M_1 [N/x]M_2 \quad (\text{if } y \notin FV(N), \alpha\text{-convert so it isn't})$$

# Computing in $\lambda$ -calculus

$\beta$ -reduction

$$(\lambda x. M) N \xrightarrow{\beta} [N/x]M$$

$$\begin{aligned} & (\lambda x. \lambda y. x y) (\lambda x. x y) (\lambda y. y) \\ \xrightarrow{\beta} & (\lambda x. \lambda z. x z) (\lambda x. x y) (\lambda y. y) \\ \xrightarrow{\beta} & (\lambda z. (\lambda x. x y) z) (\lambda y. y) \\ \xrightarrow{\beta} & (\lambda x. x y) (\lambda y. y) \\ \xrightarrow{\beta} & (\lambda y. y) y \\ \xrightarrow{\beta} & y \end{aligned}$$

$\eta$  (Eta) reduction

If  $x \notin FV(M)$ , then  $\lambda x. M x \xrightarrow{\eta} M$

Sort of like `let add l = List.map ((+) l) l`  
 $\equiv$  `let add l = List.map (+) l`

$$\begin{aligned} (\lambda x. \lambda y. y x) w z & \xrightarrow{\beta} (\lambda x. w x) z \xrightarrow{\beta} w z \\ & \downarrow \eta \\ (\lambda y. y) w z & \xrightarrow{\beta} w z \end{aligned} \quad \text{) Can reduce in many ways/orders}$$

Normal form - No  $\beta$  - reductions are possible

Not every expression "has" (can be reduced to) a normal form!

$$(\lambda x. x x) (\lambda x. x x) \xrightarrow{\beta} (\lambda x. x x) (\lambda x. x x) \xrightarrow{\beta} \dots$$

$$\begin{aligned} (\lambda x. x) ((\lambda y. y) z) & \xrightarrow{\beta} (\lambda y. y) z \xrightarrow{\beta} z \in \text{call by name (lazy)} \\ & \downarrow \beta \\ (\lambda x. x) z & \xrightarrow{\beta} z \in \text{call by value (eager)} \end{aligned}$$

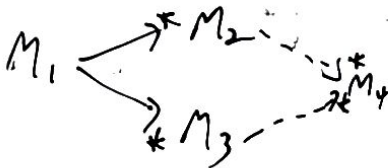
CBV may not terminate even if  $M$  has a normal form

$$(\lambda x.z) ((\lambda x.xx)(\lambda x.x x)) \xrightarrow[\beta]{CBV} z$$

However! If 2 reductions terminate, both are guaranteed to have the same result (i.e., normal forms are unique)

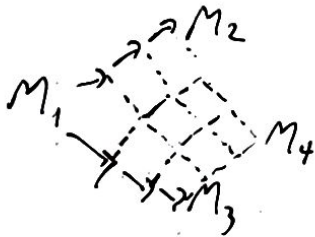
"Church-Rosser Property/Theorem"

Diamond Property: If  $M_1 \xrightarrow[\beta]^* M_2$  and  $M_1 \xrightarrow[\beta]^* M_3$ , then  $\exists$  some  $M_4$  such that  $M_2 \xrightarrow[\beta]^* M_4$  and  $M_3 \xrightarrow[\beta]^* M_4$



Proof outline:

Lemma: If  $M_1 \xrightarrow[\beta]^{1 \text{ step}} M_2$  and  $M_1 \xrightarrow[\beta]^* M_3$ , then there exists  $M_4$  such that  $M_2 \xrightarrow[\beta]^* M_4$  and  $M_3 \xrightarrow[\beta]^* M_4$



Corollary: If  $M_1 \xrightarrow[\beta]^* M_2$  and  $M_1 \xrightarrow[\beta]^* M_3$  and  $M_2$  and  $M_3$  are in normal form, then  $M_2 = M_3$ .